



Atty. Docket No.: 17633/1082

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Tongwen Wang  
Serial No.: 09/927,738  
Filed: August 10, 2001  
Entitled: Compositions and Methods for  
Modulating TGF-B Signaling

Examiner

Group Art Unit: 1645

Conf. No.: 7298

**CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, **Express Mail Label No. EL326923836US** on this date, 12/11/01, postage prepaid, in an envelope addressed to Box: Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Box: Sequence  
Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT UNDER 37 C.F.R. §1.821(f) and (g)**

Sir:

This paper is submitted in response to the Notice to File Missing Parts mailed by the USPTO on September 20, 2001, 2001.

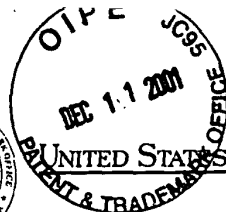
In accordance with 37 C.F.R. §1.821 (f) I hereby state that the paper copy and the computer readable form of the Sequence Listing submitted herewith in the above-identified patent application are supported in the application and contain no new matter. I hereby state that the information recorded in computer readable form is identical to the written sequence listing.

In accordance with 37 C.F.R. §1.821 (g), I hereby state that the computer readable form of the Sequence Listing submitted herewith contains no new matter.

Respectfully submitted,

Date: 12/11/01

Kathleen Williams  
Name: Kathleen Williams  
Registration No.: 34,380  
Palmer & Dodge LLP  
111 Huntington Avenue  
Boston, MA 02199-7613  
Tel: 617-239-0100



12-14-01

Page 1 of 2

Section #

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,738	08/10/2001	Tongwen Wang	17633/1082

CONFIRMATION NO. 7298

27495

PALMER & DODGE, LLP  
ONE BEACON STREET  
BOSTON, MA 02108-3190

## FORMALITIES LETTER



\*OC000000006580360\*

Docketed

Response Due Resp to NFMPStatutory Period 11/20/01 (3/20/02 Fwd)

Palmer & Dodge LLP  
Patent Department

Date Mailed: 09/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

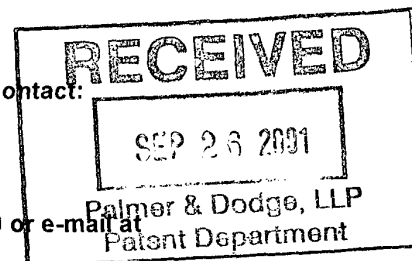
## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at



SEP 18/2001 ADDITION 00000067 09927738

SEP 18/2001

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patin21help@uspto.gov or patin3help@uspto.gov

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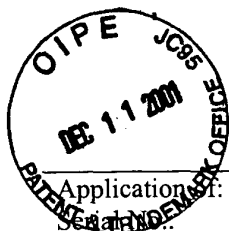
*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Box: Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION**

Sir:

This is filed in response to the Notice to File Missing Parts of Non-Provisional Application mailed September 20, 2001.

1. The Notice stated that the Declaration is unsigned.  
An executed Declaration/Power of Attorney is enclosed.
2. The Notice stated that the a late filing fee or oath or declaration surcharge is due.  
A check is enclosed in the amount of \$120.00 which includes:
  - a) \$65.00 surcharge for late filing fee or declaration; and
  - b) \$55.00 extension of time.
3. The Notice stated that the application fails to comply with the requirements of 37 C.F.R. 1.821-1.825.  
A paper copy and computer readable copy of the Sequence Listing is enclosed.

Respectfully submitted,

Date:

12/11/01

Name: Kathleen Williams  
Registration No.: 34,380  
Palmer & Dodge LLP  
111 Huntington Avenue  
Boston, MA 02199-7613  
Tel: 617-239-0100



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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Box: Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Enclosed for filing in the above-identified patent application, please find the following documents:

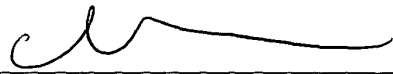
1. Response to Notice to File Missing Parts mailed September 20, 2001;
2. Copy of Notice to File Missing Parts- Part 2;
3. Executed Declaration and Power of Attorney;
4. Sequence Listing Paper Copy;
5. Sequence Listing on Computer Readable Diskette;
6. Statement under 37 C.F.R. §1.821(f) and (g)
7. Petition for Extension of Time;
8. Check in the amount of \$120.00 for the requisite fee; and
9. Return Post Card.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 17633/1082. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Date:

12/11/01

  
Name: Kathleen Williams  
Registration No.: 34,380  
Palmer & Dodge LLP  
111 Huntington Avenue  
Boston, MA 02199-7613  
Tel: 617-239-0100